						ATTY, DOCKET NO.		APPLICATION NO. CONFIRM	AATION	NΩ
	FOR	M P1	ГО-1449		1	200208565-1	ļ	COM IIII		110
				IBLICATIONS FOR	⊢	APPLICANT				
	APP	LICA	NT'S INFORMATI STATE			Michael F. Ang lo	o et a			
		(11.5	se several sheets i	•	- 1	FILING DATE		GROUP		
		(0)	e several sheets i	- Indeessaly/		Herewith				
REFE	REN	CE	DESIGNATION	U.S. PA	TENT	DOCUMENTS				
XAMII			DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns, Lines Wh Relevant Passages or Figures /		
-#1	$\mathcal{P} _1$	A	5,505,268 B1	01-07-2003	Schu	ultz et al.				
- y	1	В	5,442,631 B1	08-27-2002	Neut	feld et al.				
	1	CE	5,418,533 B2	07-09-2002	Ang	elo et al.				
	1	D 6	3,400,823 B1	06-04-2002	Ang	elo				
	1	E 6	6,370,649 B1	04-09-2002	Ang	elo et al.				
\dashv		-	6,199,167 B1	03-06-2001	Hein	rich et al.			,	
-	-	-	6,182,892 B1	02-06-2001	Ana	elo et al.		-		
	-	-	6,167,538	12-26-2000	+	feld et al.				
	-	\dashv	5,125,446	09-26-2000	Olar	ig et al.		·····		
一寸) ;	→	6,119,228	09-12-2000	Ang	elo et al.				
1		-+	5,085,299	07-04-2000	+	elo et al.				
				<u> </u>						
			DOCUMENT NUMBER	PUBLICATION DATE		ME OF PATENTEE OR APPLICANT		Pages/Columns/Lines Where levant Passages/Figures Appea	Che Trans r attac	latic
		IL								_
		М								
		IN		ll						
		10								
		1P								
			OTHER REF	ERENCES (includin	a Aut	hor, Title, Date, P	ertin	ent Pages, etc.)		
	_		T							_
4	フ *	10	Angelo et al., Serial No. 09/	"Method and Appar 540,697, filed March	atus to h 31, 2	Provide Enhanced 2000	Comp	outer Protection," Application	on	
6	P	1R	Angelo et al., Serial No. 09/	"Method and Appara 540,812, filed Marcl	atus fo	or Providing Enhance 2000	ed Co	mputer Security," Applicati	on	
t	<i>î)</i>	15	Angelo et al., filed March 31	"Comupter System I 1, 2000	Having	Security Features,	," Арр	lication Serial No. 09/540,8	311,	
	AMIN	FP.				DATE CONSIDER	RED			
ĵ	CINIIA				•			sep 06		
Rev O5/	22.	2144	<u> </u>			1 2		797		

PATENT APPLICATION

Sheet 2 of 8

	,					ATTY, DOCKET NO.		APPLICATION NO.	CONFIRMA	TION NO
	FO	RM F	PTO-1449			200208565-1				
	LIS	T OF	PATENTS AND PU	JBLICATIONS FOR		APPLICANT				
	Ar	PLIC.	STATE			Micha I F. Angel	et a	GROUP		
		(L	Jse several sheets i	f necessary)		Herewith				
REFI	EREI	NCE	DESIGNATION	U.S. PA	TEN	T DOCUMENTS	<u> </u>			
EXAM	INER		DOCUMENT	PUBLICATION	Τ			Pages, Column		
INITI	AL		NUMBER	DATE	╀	NAME		Relevant Passages	or Figures App	ear ——
1	7	2A	6,061,794	05-09-2000	An	gelo et al.				
	Γ	2B	6,032,257	02-29-2000	Ola	arig et al.			·	
		2C	6,003,144	12-14-1999	Ola	arig et al.				
		2D	5,974,438	10-26-1999	Ne	ufeld				
		2E	5,974,250	10-26-1999	An	gelo et al.				
		2F	5,960,084	09-28-1999	An	gelo				
		2G	5,953,422	09-14-1999	An	gelo et al.				
		2H	5,949,882	09-07-1999	An	gelo				
\neg	,	21	5,944,821	08-31-1999	An	igelo				
7	1	2J	5,923,754	07-13-1999	An	gelo et al.				
7	D	2K	5,909,691	06-01-1999	Sc	hultz et al.				
16	/				_					
				FOR	EIGN	PATENT DOCUME	ENTS			
	_		DOCUMENT NUMBER	PUBLICATION DATE	N	IAME OF PATENTEE OR APPLICANT		Pages/Columns/Line evant Passages/Fig		Check if Translation attached
		2L								
		2M								
		2N								
		20								
		2P								T
		<u>'</u>				other Title Date P	20-41-0	ant Pages, etc.)		
			OTHER REF	ERENCES (includin	ig At	uthor, Title, Date, P	-ertin	ent Pages, etc.,		
1	7	20	Neufeld, E. D Envrionment,	avid, "Method and A " Application Serial	oppara No. C	atus for Preserving th 19/967,268, filed Sep	ne Inte otemb	egrity of Manage er 28, 2001	ment Subsys	tem
7	J	21	R Neufeld, et al Security Subs September 28	system for a Process	aratus or-Ba	s for Generating a Str sed Device," Applica	rong F ation	Random Number 1 Serial No. 09/966	for Use in a 6,890, filed	-
- 10	P	25	S Brown et al., Replacement	"Method and Appara in a Security Subsys	atus (stem,	for Pr s rving a Stron " Application Serial N	ng Rai Io. 10	ndom Numb r Ac /037,511, filed J	ross Battery anuary 4, 20	02
	XAM	NFR				DATE CONSIDER	RED			
_	1					1 7 /	5 (s-epo	6	
Rev O	5/03 (1	7014	49)		_					

					ATTY. DOCKET NO.	APPLICATION NO. CONFIRMA	TION NO
FC	RM P	TO-1449			200208565-1		
			JBLICATIONS FOR		APPLICANT		
Al	PLICA	ANT'S INFORMATI STATE			Michael F. Ang lo		
	(U	se several sheets i	if necessary)		FILING DATE Herewith	GROUP	
REFERE	NCE	DESIGNATION	U.S. P/	ATEN	T DOCUMENTS		
XAMINER		DOCUMENT NUMBER	PUBLICATION DATE		NAME	Pages, Columns, Lines Where Relevant Passages or Figures App	
X	3A	5,887,131	03-23-1999	An	gelo		
\mathcal{K}	3B	5,859,911	01-12-1999	An	gelo et al.		
-/ \	3C	6,567,901	05-20-2003	Ne	ufeld		
	3D	5,333,305	07-26-1994	Ne	ufeld		
	3E	5,331,646	07-19-1994	Ne	ufeld		
	3F	6,609,204	08-19-2003	An	gelo		
	3G	6,581,162	06-17-2003	An	gelo		
	3Н	5,850,559	12-15-1998	An	gelo		
1	31	6,502,203B2	12-31-2002	Bai	rron et al.		<u> </u>
V	3J	6,467,048B1	10-15-2002	Ola	arig et al.		
111	зк	6,463,495B1	10-08-2002	An	gelo et al.		
		DOCUMENT NUMBER	PUBLICATION DATE		AME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check in Translation
	3L						
	ЗМ						
	ЗN						
	30						
	ЗР						
		OTHER REF	ERENCES (includi	ng Au	ithor, Title, Date, Po	ertinent Pages, etc.)	
P	30	Franz et al., " Connection fo	Method and Appara or Secure Key Excha	itus fo ange,"	r Initiating Strong Enc Application Serial No	cryption Using Existing SSL o. 10/037,491, filed January 4, 20	002
- 10	3R	Reeves et al., January 4, 20		n a Dir	ectory Service," Appl	lication Serial No. 10/038,239, file	ed
P	38	Reeves et al., Out Managem January 4, 20	ent in a Directory E	ratus inable	for Increasing the Fund Environment," Appli	actionality and Ease of Use of Light cation Serial No. 10/037,684, filed	ts d
EXAM	NER				DATE CONSIDER	ED _ /	
1					上 フ_	o sep 0-6	

Rev 05/03 (PTO1449)

PATENT APPLICATION

							Sneet 4	OT 8	
FC	ORM P	TO-1449			ATTY. DOCKET NO. 200208565-1		APPLICATION NO.	CONFIRMA	TION N
			UBLICATIONS FOR		APPLICANT	1		l	
Al	PPLICA	ANT'S INFORMATI STATE	ION DISCLOSURE		Michael F. Angelo	o ta	1.		
				Ì	FILING DATE		GROUP		_
		ise several sheets			Herewith				
REFERE	NCE	DESIGNATION	U.S. PA	ATENT	DOCUMENTS				
(AMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns Relevant Passages		
#	4A	6,460,121 B1	10/01/2002	Bon	ola				
**	4B	6,477,648 B1	11/15/2002	Sch	ell et al.				
4	4C	6,470,443 B1	10/22/2002	Eme	er et al.				
	4D	6,401,208 B2	06-04-2002	Dav	ris et al.		· · · · · · · · · · · · · · · · · · ·		
	4E	6,363,449 B1	03-26-2002	Side	e et al.				
	4F	6,330,674 B1	12-11-2001	Ang	jelo et al.				
	4G	6,311,273 B1	10-30-2001	Heli	big, Sr. et a.	$\neg \uparrow$			
	4H	6,308,265 B1	10-23-2001	Mill	er				
-	41	6,298,411 B1	10-02-2001	Gia	calone			······································	
1/	\vdash	6,288,843 B1	09-11-2001	Ang	gelo et al.				
(1)	₩	6,263,431 B1	07-17-01	+-	elace				
10-			<u></u>						
		DOCUMENT	PUBLICATION		PATENT DOCUMI		Pages/Columns/Line	s Where	Check Translat
		NUMBER	DATE		OR APPLICANT	Rel	levant Passages/Fig	ures Appear	attach
	4L								
	4M								
	4N								
	40								
	4P						•		
	<u> </u>	OTHER REE	EDENICES (includio	Au	thor, Title, Date, P	Portin	ant Pages etc.)		
		OTHER REP	ENEIVOES (IIICIUUII				ent rages, etc.,	· · · · · · · · · · · · · · · · · · ·	
9	40	Franz et al., " Application So	Method and Appara erial No. 10/043,478	tus for B, filed	Identifying the Writ January 10, 2002	te Pro	tect Status of a D	iskette,"	
P	4R	Angelo et al., Identify Equip	"Method and Appar ment," Application S	ratus fo Serial I	or Using a MAC Add No. 10/184,146, file	dress a	as Unique Machin e 28, 2002	e Parameters	s to
V	48	Angelo et al., Application S	"Compact Mounting erial No. 09/115,06	g of Ch	nip Card Reader at F	ront o	of Computer Device	ce Bay Area,	
EXAM	INER				DATE CONSIDER	RED			
EXAM	INER				DATE CONSIDER	RED	Sego	-	

PATENT APPLICATION

Sheet 5 of 8

F				- 1	ATTY, DOCKET NO.		APPLICATION N	O. CONFIRMA	TION NO
• •	ORM I	PTO-1449			200208565-1				
		F PATENTS AND PARTY SINFORMAT	UBLICATIONS FOR		APPLICANT				
А	PPLIC		EMENT		Micha I F. Angel	et a	I. GROUP		
	(L	Jse several sheets	if necessary)		Herewith				
REFERE	NCE	DESIGNATION	U.S. PA	TEN1	T DOCUMENTS	-			
XAMINEF		DOCUMENT	PUBLICATION	Τ_				nns, Lines Where	
INITIAL		NUMBER	DATE	—	NAME		Relevant Passage	es or Figures App	ear
1	5A	6,134,591	10-17-2000	Nic	kles				
<u> </u>	5B	6,131,174	10-10-2000	Fise	cher et al.				
<u> </u>	5C	6,118,589	09-12-2000	And	eglo et al.				
	5D	6,116,509	09-12-2000	Ang	gelo et al.				
	5E	6,057,965	05-02-2000	Ang	gelo et al.				
	5F	6,026,016	02-15-2000	Gaf	fken				
	5G	6,009,524	12-28-1999	Ola	rig et al.				
	5H	5,848,418	12-08-1998	de	Souza et al.				
	51	5,844,986	12-01-1998	Dav	vis				
\J	5J	5,822,184	10-13-1998	Rav	vinovitz			<u></u>	
10	5K	5,778,070	07-07-1998	Ma	ttison				
	5L	NUMBER	DATE		OR APPLICANT	He	levant Passages/	rigures Appear	attache
	5M		 			+-			
	5N	<u> </u>				+			
	+					+			
	IEO		l l			- 1			
	50 50		-			+			<u> </u>
	50 5P	OTHER REF	FRENCES (including		thor Title Date P	Pertin	ent Pages, et		
	5P		ERENCES (including						
	 	Neufeld et al.	FERENCES (including), "Method and Appa erial No. 10/632,500	ratus	to Provide Secure Co				
	5P	Neufeld et al. Application S	Method and Appa	ratus	to Provide Secure Co				
	5P	Neufeld et al. Application S	Method and Appa	ratus	to Provide Secure Co				
EXAM	5P 50 51 51 55	Neufeld et al. Application S	Method and Appa	ratus	to Provide Secure Co 1 August 1, 2003	PRED		een Systems,*	

		D14 C	TO 1440			ATTY. DOCKET NO.		APPLICATION NO.	CONFIRMATIO	ON NO
			PTO-1449			200208565-1		<u> </u>		_
			F PATENTS AND P ANT'S INFORMAT	UBLICATIONS FOR ION DISCLOSURE		APPLICANT		_		
			STATE			Michael F. Angelo	et a	I GROUP		-
		(L	lse several sheets	if necessary)		Herewith				
REFE	REN	ICE	DESIGNATION	U.S. P/	ATEN	T DOCUMENTS	_			-
EXAMI INITI			DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns Relevant Passages		r
+	<u>ə</u> l	6A	5,748,940	05-05-1998	An	gelo et al.				_
7	7	6B	5,748,888	05-05-1998	An	gelo et al.				
	1	6C	5,737,744	04-07-1998	Cal	lison et al.				_
		6D	5,668,971	09-16-1997	Ne	ufeld				_
		6E	5,592,648	01-07-1997	Scl	nultz et al.				_
		6F	5,522,065	05-28-1996	Ne	ufeld			-	_
\neg		6G	5,490,342	02-13-1996	Rut	terman				_
\neg	\neg	6Н	5,408,644	04-18-1995	ScI	nneider et al.				_
\top	,	61	5,440,716	08-08-1995	Scl	nultz et al.				
1		6J	5,363,273	11-08-1994	Ma	-				
1	P	6K	5,331,646	07-19-1994	Krı	leger et al.				
			DOCUMENT NUMBER	PUBLICATION DATE		PATENT DOCUME AME OF PATENTEE OR APPLICANT	T	Pages/Columns/Line	s Where	Check If ranslation
	_	6L					\dagger			
		6M	<u> </u>				╁╴			
		6N	<u> </u>	 		<u></u>	\dagger	····	-	
		60					+			
		6P		1			T			
			OTHER REF	ERENCES (includi	ng Au	thor, Title, Date, P	ertin	ent Pages, etc.)	•	
		60								
										_
		6F	ı			•				
		65	<u>;</u>							
-FY	AMII	NER				DATE CONSIDER	RED			
	1					上一つ~		- porx		
	- 1 -					i /./}	/ -		,	

Sheet 7 of 8

-	D14	PTO-1449			ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION	1 NC
r.	JAM	P10-1445			200208565-1			
		F PATENTS AND PI :ANT'S INFORMATI			APPLICANT			
		STATE			Michael F. Angel	et al.	····	
	(1	Use several sheets (if necessary)		Herewith	GROOP		
	NCE	DESIGNATION	IIS PA	ATEN	T DOCUMENTS		·	
	,		,	- I - I				
XAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE		NAME	Pages, Column Relevant Passages		
10	7A	5,271,152	12-21-1993	Mu	ırphy			
M	7B	5,249,279	09-28-1993	Sc	hmenk et al.			
	7C	5,224,019	06-29-1993	W	ong et al.	}		
	7D	5,175,670	12-29-1992	Wa	ang			
\neg	7E	5,159,533	10-27-1992	Ku	ang			
	7F	5,101,492	03-31-1992	Sc	hultz et al.			
	7G	5,850,559	12-15-1998	An	gelo et al.			
	7H	5,960,084	09-28-1999	An	gelo			
	71	5,955,722	09-21-1999	Ku	rz et al.			
	7J	5,953,422	09-14-1999	An	gelo et al.			
*	7K	5,949,882	09-07-1999	An	gelo			
	71	DOCUMENT NUMBER	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Pages/Columns/Line Relevant Passages/Figu	es Where	neck if nslation ached
	7L							—
	7M		 					
	7N							
	70 7P		 			<u> </u>		_
	1/6		<u> </u>					
		OTHER REF	ERENCES (includir	ng Au	thor, Title, Date, Pe	ertinent Pages, etc.)		
	70							_
	7F	3						
	75	6					·	•
EXAMI	NFR				DATE CONSIDER			-
	1/		_			Spal		
Rev 05/03 (T0144	19)			1 20	sef 00		

PATENT APPLICATION Sheet 8 of 8

F	nam i	PTO-1449			ATTY. DOCKET NO.		APPLICATION NO.	CONFIRMATIO	N NO
			UBLICATIONS FOR		200208565-1				_
		ANT'S INFORMAT	ION DISCLOSURE		APPLICANT Micha I F. Ang Id	n at a	1		
			EMENT		FILING DATE	1	GROUP		-
	(L	Jse several sheets	if necessary)		Herewith				-
EFERE	NCE	DESIGNATION	U.S. P/	ATENT	T DOCUMENTS				
(AMINER		DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns, Relevant Passages or		_
1	8A	5,892,906	04-06-1999	Cho	ou et al.				_
- 	8B	-			-				-
	8C								-
	8D								_
	8E					Ī			-
	8F								_
_	8G		-						_
	8н								_
	81								_
	8J								_
	8K								
	Τ	DOCUMENT	<u></u>		PATENT DOCUME	T	Pages/Columns/Lines	Where T.	
	81	DOCUMENT NUMBER	PUBLICATION DATE			T		Where Tr	anslat
	8L 8M	NUMBER	PUBLICATION		AME OF PATENTEE	T	Pages/Columns/Lines	Where Tr	ansla
	↓	NUMBER	PUBLICATION		AME OF PATENTEE	T	Pages/Columns/Lines	Where Tr	ansla
	8M	NUMBER	PUBLICATION		AME OF PATENTEE	T	Pages/Columns/Lines	Where Tr	ansla
	8M 8N	NUMBER	PUBLICATION		AME OF PATENTEE	T	Pages/Columns/Lines	Where Tr	anslat
	8M 8N 8O	NUMBER	PUBLICATION DATE	N	AME OF PATENTEE	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	Check
	8M 8N 8O	OTHER REF	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	anslat
	8M 8N 8O 8P	OTHER REF	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	anslat
	8M 8N 8O 8P	OTHER REF	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	ansla
	8M 8N 8O 8P	OTHER REF	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	ansla
	8M 8N 8O 8P	OTHER REF	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Rel	Pages/Columns/Lines evant Passages/Figur	Where Tr	ansla
EXAM	8M 8N 8O 8P	OTHER REF	PUBLICATION DATE	N	thor, Title, Date, P	Pertino	Pages/Columns/Lines evant Passages/Figur	Where es Appear	ansla